

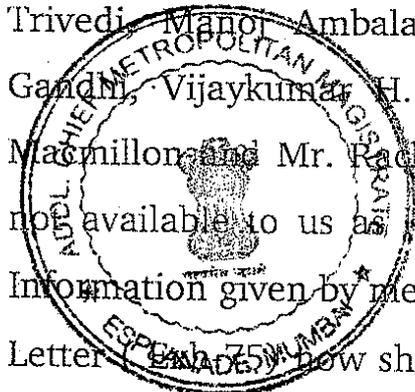
P.W. No. 4 on S.A. :

State V/s. Sanjay Agarwal and others

Name : Rekha Kishor Karnik.  
Age : 69 years.  
Occupation : Retired.  
Residing at : Dadar.

Examination-in-chief by Ld. A.P.P. Smt. P. S. Patil for the State :-

1. In the year 2000, I was serving as Deputy General Manager, BSE Listing Department. Around in the year 2002, police inspector from EOW approached me and sought information regarding Home Trades Limited. They sought information to ascertain whether said company was listed with stock exchange. Police also asked for SEBI registration number of said company. I collected SEBI registration of said company from SEBI and gave it to police. Police also asked for name of directors and address of registered office. I have also given said information to police by writing letter. Said company was having its corporate office in Mumbai and registered office in Pune. As per the information given by names of directors of said company was Sanjay Agarwal, N.S. Trivedi, Manoj Ambalal Shah, Shashank G. Ranade, Salil D. Gandhi, Vijaykumar H. Modi, Dhananjay Agarwal, Alan James Macmillon and Mr. Rachel H. Boekenkroeger. Date of listing was not available to us as listing permission was not given to them. Information given by me in writing if shown to me I can identify it. Letter now shown to me is the same which was written by me to police.



*Handwritten signature and date: 31.11.24*

Cross examination by Adv. Mr. Deepak Mane for accused No.1

2. Declined.

Cross-examination by Advocate Nitish Wani for accused No.2

3. Declined.

Cross examination by Adv. Smt. Poonam Ankeshwari for accused No.3 :-

4. Declined

Cross examination by Adv. Mr. Salsingekar for accused No.4,5,6,7,9 and 10 :-

5. I have not given document showing that I was Deputy General Manager listing. I have not brought any document showing that I was working in BSE. I was given my duty list. I have not given documents to police showing that I was authorized to make communication to police. Today also I have not brought any document. I cannot produce those documents in court. Police submitted their letter to inward. I do not know who acknowledged said letter. Police never called me for inquiry to the office of EOW. Officers of EOW met me on one occasion only. Police made primary inquiry with me. I did give verbal answers. I do not recollect whether police reduced those answers in writing or not. Police never called me for recording my statement nor I my own went to police.

6. Some of the information was collected by me from SEBI and some other collected by manually and some from computer. In the year 2002, office of SEBI was in Mumbai. I did make written communication with SEBI. I have not given copy of

*Mane*  
31/12/4

said letter to police. First, third, fourth and fifth points in my letter (Exh-75) are extract of information received from SEBI. I have neither handed over documents having abovesaid information to police nor brought today. I have gathered information regarding point No.6 from computer. I do not recollect whether I have searched for that information on computer. I have not given any document showing that HIL was not listed company. Information mentioned in point No.8 might have been collected from Membership Department. I do not recollect whether I had made any communication to Membership Department. But information is not being given by one department to other department unless there is written communication. I have not given any document to internal communication to police. I do not recollect whether I had personally seen the information that HIL was not listed. It is not true to say that I prepared letter (Exh-75) as per say of police.

Cross examination by Adv. Rohan Samant for accused No.11 :-

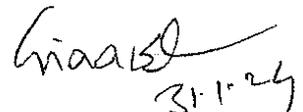
7. Co-operative banks are not under regulation of BSE.

Cross Examination by Advocate Ajay Dubey holding for  
advocate S.N. Choudhary for accused No. 14

8. Declined

Re-examination-Nil.

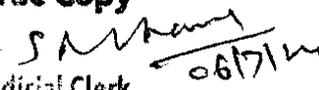
R. O. & A. C.

  
(S.P. Shinde)

31.1.24  
Addl. C. M. M., 47<sup>th</sup> Court,  
Esplanade, Mumbai.

Date : 31.01.2024

True Copy

  
Judicial Clerk,

Additional Chief Judicial Magistrate's,  
47<sup>th</sup> Court, Esplanade, Mumbai.