



भारतीय प्रतिभूति
और विनियम बोर्ड

547

Securities and Exchange
Board of India

DEPUTY GENERAL MANAGER
SECONDARY MARKET DEPARTMENT

Feb. 93
4/3/2022

900

SMD/DBA-II/AK/1735/1/2002
September 02, 2002

Shri Pradeep Aphale
Assistant Police Inspector
Economic Offence Wing
Crime Branch
PUNE - 411 001

Letter to SEBI
Pune

Dear Sir,

Sub :- Information sought with regards to Home Trade Ltd.

This has reference to your letter dated June 18, 2002 seeking information in respect of Home Trade Ltd, Vashi, New Mumbai.

As regards information with respect to point (1) of your above said letter,

Home Trade Ltd was originally registered on The Stock Exchange, Mumbai (BSE) as Lloyds Brokerage Ltd on June 07, 1995 under SEBI Registration number INB010624639.

On National Stock Exchange of India Ltd, Home Trade Ltd was originally registered as Lloyds Brokerage Ltd (NSE) on May 27, 1994, under SEBI Registration number INB230597435. Subsequently registration has been granted to Home Trade Ltd on November 10, 2000, under SEBI Registration number INB230624638. However, NSE had not been enabled Home Trade Ltd for activity on the wholesale debt market segment of the Exchange and was therefore not eligible to trade on the same.

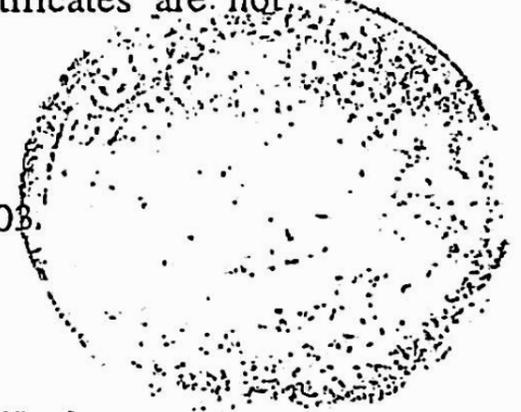
On Pune Stock Exchange (PSE), Home Trade Ltd was originally registered as Lloyds Brokerage Ltd on July 21, 1994 under SEBI Registration number INB110624638.

Lloyds Brokerage Ltd has been registered on Over the Counter Exchange of India (OTCEI) on July 07, 1995 under SEBI Registration number INB200597431.

As regards (2), copy of registration certificates in respect of NSE and PSE are enclosed. As regards BSE and OTCEI copies of registration certificates are not readily available.

Address as per our records :-

Tower 3/5th Floor, International Infotech Park, Navi Mumbai - 400 703.



दि. 23-2-2002

Signature

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और विनियम बोर्ड

(SWS)

Securities and Exchange
Board of India

909

.2.

The list of names and addresses of Directors is as given below :-

Sr. No	Names	Addresses
1	Shri Sanjay Agarwal	Not Available
2	Shri N.S.Trivedi	Dev Bhuvan, 2 nd Floor, Gazdor Street, Chira Bazar, Mumbai - 400 002
3	Shri Manoj Shah (Mike) (Resigned)	3, Bellary Road, RMU Ext. Bangalore - 80
4	Shri Allan J Mcmillan	786, Castro 57, Mountain View, C.A. U.S.A. 94041
5	Shri Russel H. BOB KENKEROEGER JR.	2, Timber Hill Terrace, Lynnfield M.A.01940
6	Shri Shashank Ranade	3/1, Radha Krishna Niwas, Ground Floor Dagaai Wadi, A. K. Bole Road Dadar (W), Mumbai-28
7	Shri Vijaykumar Modi	A/203, Amita CHS Ltd, Kui Up Wadi Road, Borivali (E), Mumbai-68
8	Shri Sahil Gandhi	A-11/13, Gold Coins CHS, Tardeo, Mumbai-34
9	Shri Dhanajay Agarwal	Not available
10	Shri Ketan Sheth (Resigned)	Lalit Kutir, North, Road No.9, JVPD, Mumbai-49

As regards point (6) of your above said letter, Home Trade Ltd has been registered on NSE on November 10, 2000.

As regards point (7), SEBI has passed order dated May 13, 2002 under Section 11 and 11B of SEBI Act 1992 against M/s. Home Trade Ltd debarring Home Trade Ltd from dealing in securities till completion of investigation and action thereon of one year whichever is later due to :-

- failure of the broker to deliver government securities to Nagpur District Central Co-operative Bank Ltd and also
- dishonour of cheque of Rs. 85,23,79,680.56 issued by the bank in favour of Nagpur District Central Co-operative Bank Ltd.

In view of the above, the broker has violated SEBI notification dated March 01, 2000 issued under Section 16 of Securities Contracts (Regulation) Act, 1956 and Code of Conduct under regulation 7 of SEBI (Stock Brokers and Sub-Brokers) Regulation, 1992.

Yours faithfully,

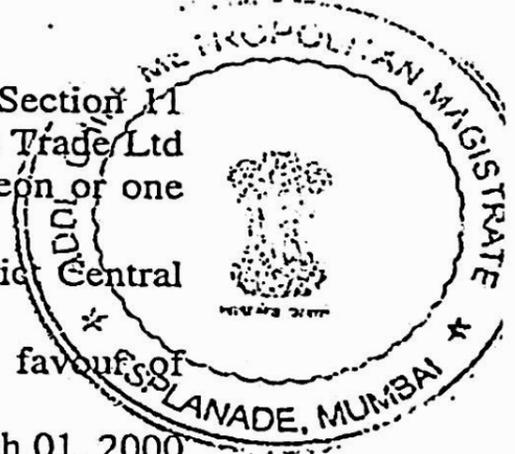
PARAG JAIN

True Copy

Judicial Clerk, 30/3/24

Addl. Chief Metropolitan Magistrate's,
47th Court, Esplanade Mumbai.

अरनेस्ट हाऊस, 194 नरिमान पॉइंट, मुंबई-400 021. दूरभाष : 2850441 (10 लाईनें), 2880944 (4 लाईनें), फेक्स : 022-2870746/2046884
Earnest House, 194, Nariman Point, Mumbai-400 021. Tel.: 2850441 (10 Lines), 2880944 (4 Lines), Fax : 022-2870746/2046884



Applied on 27/03/2024
Granted on 30/03/2024
Ready on 30/03/2024
Delivery on 30/03/2024