



भारतीय प्रतिभूति  
और विनिमय बोर्ड

Securities and Excha  
Board of India

November 16, 2000

Managing Director  
National Stock Exchange of India Ltd  
Mahindra Towers 'A' Wing  
1st Floor, RBC, Worli,  
BOMBAY - 400 018

Letter - 16/11/2000

Dear Sir,

SUB: REGISTRATION CERTIFICATE

Please find enclosed FIVE certificates issued to members of your Exchange under the Securities and Exchange Board of India (Stock-Brokers and Sub-Brokers) Rules and Regulations, 1992. You are requested to verify the correctness of the name, trade name and address mentioned in the certificate before the certificate is handed over to the concerned member. If for some reason the above member to whom the certificate has been issued, has ceased to be a member of your Stock Exchange please withhold the certificate and return to us giving details of reasons as to why that stock-broker has ceased to be a member and from which date.

In case of Home Trade Ltd., registration is subject to the following :

- The new management shall undertake all the liabilities/obligations including any SEBI action for violation of the provisions of SEBI Act and SEBI (Stock brokers & sub brokers) rules & regulations, 1992.
- The new management shall also undertake all the SEBI registration fee liability of the previous entity

Vide our letter dated January 28, 2000, you were advised to disallow directors/companies (vanishing), from being admitted by the exchange. You are once again advised to confirm that directors/applicant co. (vanishing) is not debarred while forwarding the applications to us. You are further advised to satisfy yourself before handing over the certificate to the member that name of applicant/directors is not appearing in the list of companies/directors (vanishing) debarred by SEBI. The list is available on Internet (sebi.com, sebi.gov.in)

After satisfying yourself as above, you are requested to hand over the registration certificate to the stock-broker after taking due acknowledgement. The acknowledgement from the concerned member may be obtained in the attached form and forwarded to us at the earliest.

Yours faithfully,

PRANJAL

Encl : 1. List of FOUR stock brokers

- Twin Earth Securities Pvt. Ltd.
- Swarnaratna Securities Pvt. Ltd.
- Amar & Sons Stock Broking Pvt. Ltd.
- Value Capital Services Pvt. Ltd.
- Home Trade Ltd.

2. Acknowledgement form



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